

# Strong Links Between Teleconnections and Ecosystem Exchange Found at a Pacific Northwest Old-Growth Forest from Flux Tower and MODIS EVI Data

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1	Strong Links between Teleconnections and Ecosystem Exchange Found at a Pacific
2	Northwest Old-Growth Forest from Flux Tower and MODIS EVI Data
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## Abstract

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2	Variability in three Pacific teleconnection patterns are examined to see if net carbon
3	exchange at a low-elevation, old-growth forest is affected by climatic changes associated with
4	these periodicities. Examined are the Pacific Decadal Oscillation (PDO), Pacific/North
5	American Oscillation (PNA) and El Niño-Southern Oscillation (ENSO). We use nine years of
6	eddy covariance CO <sub>2</sub> , H <sub>2</sub> O and energy fluxes measured at the Wind River AmeriFlux site,
7	Washington, USA and eight years of tower-pixel remote sensing data from the Moderate
8	Resolution Imaging Spectroradiometer (MODIS) to address this question. We compute a new
9	Composite Climate Index (CCI) based on the three Pacific Oscillations to divide the
10	measurement period into positive- (2003 and 2005), negative- (1999 and 2000) and neutral-phase
11	climate years (2001, 2002, 2004, 2006 and 2007). The forest transitioned from an annual net
12	carbon sink (NEP = $+217 \text{ g C m}^{-2} \text{ year}^{-1}$ , 1999) to a source (NEP = $-100 \text{ g C m}^{-2} \text{ year}^{-1}$ , 2003)
13	during two dominant teleconnection patterns. Net ecosystem productivity (NEP), water use
14	efficiency (WUE) and light use efficiency (LUE) were significantly different (P <0.01) during
15	positive (NEP = -0.27 g C $\text{m}^{-2}$ day <sup>-1</sup> , WUE = 4.1 mg C / g $\text{H}_2\text{O}$ , LUE = 0.94 g C $\text{MJ}^{-1}$ ) and
16	negative (NEP = $\pm$ 0.37 g C m <sup>-2</sup> day <sup>-1</sup> , WUE = 3.4 mg C / g H <sub>2</sub> O, LUE = 0.83 g C MJ <sup>-1</sup> ) climate
17	phases. The CCI was linked to variability in the MODIS Enhanced Vegetation Index (EVI) but
18	not to MODIS Fraction of absorbed Photosynthetically Active Radiation (FPAR). EVI was
19	highest during negative climate phases (1999 and 2000) and was positively correlated with NEP
20	and showed potential for using MODIS to estimate teleconnection-driven anomalies in
21	ecosystem CO <sub>2</sub> exchange in old-growth forests. This work suggests that any increase in the
22	strength or frequency of ENSO coinciding with in-phase, low frequency Pacific oscillations
23	(PDO and PNA) will likely increase CO <sub>2</sub> uptake variability in Pacific Northwest conifer forests.

Changes in climate due to either global warming or natural variability may have large and

#### 1. Introduction

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3 prolonged influences on the terrestrial carbon cycle (Betts et al., 2004; Cox et al., 2004). Large-4 scale atmospheric circulations affect regional ocean temperatures (Bony et al., 1997; Chuck et 5 al., 2005), and the location of upper-tropospheric jet streams (Chen, 1982; Esbenson, 1984). 6 They also influence the location and severity of synoptic atmospheric pressure systems, which 7 impact regional weather over land (van Loon & Rogers, 1981; Wallace & Gutzler, 1981; Bell & 8 Basist, 1994; Hurrell, 1995, 1996). The temporal correlations in weather events between two 9 distant locations are called teleconnection patterns. Interest in teleconnection patterns crosses 10 over to the terrestrial carbon-cycle community because they are often associated with end-11 member (anomalous) weather conditions to which ecosystems are exposed. 12 Terrestrial ecosystems along the western coast of North America are particularly prone to 13 variations in climate via the eastward movement of weather patterns caused by interrelated 14 equatorial and extratropical ocean-atmospheric oscillations (Mote et al., 2003). Atmospheric 15 teleconnection patterns prevalent along the western coast of North America include the Pacific 16 Decadal Oscillation (PDO), the Pacific/North American Oscillation (PNA) and the El Nino-17 Southern Oscillation (ENSO). ENSO typically transitions from a warm phase (El Niño) to a cool 18 phase (La Niña) every 2 to 7 years in the equatorial Pacific Ocean. The impact of ENSO is felt 19 in the extratropics through increased (El Niño) or decreased (La Niña) ocean temperature 20 stratification and surface winds, and the associated strengthening (El Niño) or weakening (La 21 Niña) of the Aleutian low. The PDO is described as the dominant mode of low-frequency (interdecadal) variability in the North Pacific Ocean. A characteristic feature of the PDO is that sea 22 23 surface temperatures (SST) normally remain consistently above or below the long-term average

for two to three decades (Mantua & Hare, 2002). The PNA is a second source of low frequency 1 2 variability in the Northern Hemisphere extratropics and major phase shifts occur roughly every 3 10 years (Wallace & Gutzler, 1981). Positive or warm PNA phases are associated with an 4 intensified Aleutian low pressure cell so that warmer air is transported northward along the 5 western coast of North America. In the Pacific Northwest region of North America, warm 6 phases of ENSO, PDO and PNA are associated with warmer and drier winters while cool phases 7 bring cooler, wetter weather to the region (Mote et al., 2003). 8 The influence of teleconnection patterns and associated weather on vegetation carbon 9 exchange has been previously observed in western North America. Carbon flux studies indicate 10 that western conifer forests have the potential to become weaker or stronger sinks or sources of atmospheric CO<sub>2</sub> during strong ENSO leading modes (e.g., Goldstein et al., 2000; Morgenstern 11 12 et al., 2004; Schwalm et al., 2007). At a temperate, Douglas-fir forest in British Columbia, 13 Canada, Morgenstern *et al.* (2004) found that fluctuations in net ecosystem production (NEP) 14 were linked to air temperature anomalies during the 1997-1999 ENSO period. During the El 15 Niño (1997-1998) event, Morgenstern et al. (2004) observed lower annual NEP (i.e., reduced net 16 carbon uptake) in a 56-year old Douglas-fir forest than during the following La Niña phase in 17 1999. Primary differences in annual NEP were attributed to higher ecosystem respiration (R<sub>eco</sub>) 18 during the warm El Niño and attenuated respiration during the cooler La Niña. Tree growth 19 along western North America is sensitive to periodic climate variations because net primary 20 production (the excess of gross primary production (GPP) to autotrophic respiration) is related to 21 air temperature and precipitation anomalies (Graumlich et al., 1989; Gedalof & Smith, 2001; 22 Case & Peterson, 2005).

Forest carbon exchange sensitivity to variation in teleconnection patterns may not level 1 2 off with increasing tree age. A small but robust number of long-term eddy covariance studies 3 have been made in old-growth forests (Hollinger et al., 1994; Anthoni et al., 2002; Knohl et al., 4 2003; Loescher et al., 2003; Paw U et al., 2004; Desai et al., 2005; Dunn et al., 2007) including 5 a few in locations that provide insight on how teleconnection patterns influence old-growth forest carbon exchange. High variability in annual NEP at La Selva, an old-growth forest in 6 7 Costa Rica, has been linked to ENSO phase changes (Loescher et al., 2003). Net carbon uptake by the wet, tropical forest was at a 3-year low (NEP = -5 to +133 g C m<sup>-2</sup> year<sup>-1</sup>) during the 1998 8 El Niño and substantially increased (NEP = +153 to +314 g C m<sup>-2</sup> year<sup>-1</sup>) the next year during a 9 10 cool La Niña. Tree ring measurements at La Selva provide an even longer time series of tree 11 growth variability. These biometric data suggest that net primary production in the tropical, old-12 growth forest has decreased over the last two decades, primarily in response to an increasing 13 frequency in El Niño events (Clark et al., 2003). In addition, tree ring measurements taken from 14 a Manitoba, Canada old-growth spruce forest indicate a periodicity of approximately 7 years and 15 suggest a link between tree growth and oscillating environmental factors while variation in ring 16 width could not be linked directly to annual changes in temperature and precipitation (Rocha et 17 al., 2006). Despite the relatively small size (10<sup>5</sup> km<sup>2</sup>) of the Pacific Northwest forest biome, 18 19 significant changes in carbon sequestration in these ecosystems will likely influence the North 20 American carbon budget. Pacific Northwest evergreen forests are estimated to have the highest 21 levels of carbon sequestration in North America (Turner et al., 1995) and possibly the world 22 (Franklin & Waring, 1980; Smithwick et al., 2002), and have the highest potential for future 23 carbon uptake of any terrestrial ecosystem (Schimel et al., 2000). Mature (> 100 years old)

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forests contain the bulk of the Pacific Northwest vegetation carbon stock and in a study by Law et al. (2003) were found to store 85% of the total biomass carbon stock in central Oregon. The conventional ecological paradigm (e.g., Odum, 1965) predicts that after a serious disturbance approximately 500 years ago (catastrophic fire), Wind River was initially a strong carbon source to the atmosphere that rapidly shifted into a sink within a few decades, peaked after some 80 years, and then declined as a sink until reaching present-day carbon equilibrium (DeBell & Franklin, 1987; Franklin & DeBell, 1988). Following this hypothesis, any significant changes in carbon sequestration at Wind River will be due to local disturbances within the stand (e.g., insect outbreaks, wind throw), and without such events, old growth forest carbon exchange should remain "carbon neutral", i.e., photosynthesis and respiration fluxes are balanced and constant (e.g., Thornton et al., 2002; Law et al., 2004; Trofymow et al., 2008). In this study, we will utilize three groups of independent measurements: global climate indices, flux tower eddy covariance data and Moderate Resolution Imaging Spectroradiometer (MODIS) vegetation indices to examine the relationship between teleconnection patterns and carbon exchange. The eddy covariance technique has high temporal resolution which enables it to capture carbon exchange variability in forests over time ranges lasting from seconds to years, but because it is a one-point based measurement system, the technique is limited to a spatial resolution of 1 to 2 km or less depending on the instrumentation height. Fortunately, the satellite-derived vegetation indices (e.g., MODIS-derived Enhanced Vegetation Index (EVI)) provide measurements for all of North America at stand-level resolutions (e.g., 0.25 to 1 km), are sensitive to changes in forest canopy structure, and have shown potential for tracking seasonal forest carbon dioxide flux variability in deciduous and some evergreen ecosystems (Rahman et al., 2005).

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- (1) Identify any relationships between three Pacific teleconnection (PDO, PNA and ENSO) leading modes and local weather at Wind River using historical climate records.
- (2) Identify any influence that teleconnection patterns have on old-growth ecosystem exchange (NEP, GPP and  $R_{eco}$ ) and the mechanistic variables, light use efficiency (LUE) and water use efficiency (WUE).
- (3) Identify any variability in the MODIS vegetation indices EVI and fraction of photosynthetically absorbed radiation (FPAR) for the old-growth stand. If MODIS variability is present, we will identify any influence that teleconnection patterns have on Wind River EVI and FPAR.
- (4) Identify any relationships between MODIS EVI and FPAR variability and flux tower NEP variability over the nine year measurement period.

If our study finds significant variability in carbon exchange in the oldest forest in the FLUXNET network and we are able to link this variability to teleconnection patterns instead of to local disturbance events, then global climate indices may hold promise for predicting forest carbon sink or source activity across a much wider range of stand ages since old-growth ecosystems are assumed to be the least sensitive to climate variability. Furthermore, if we find teleconnection-driven variability in MODIS EVI and FPAR products for the old-growth canopy and we are able to link that variability to variability in tower measurements of NEP, then we will show promise for using remote sensing data to observe climate-driven changes in carbon sequestration in old-growth forests.

#### 2. Materials and Methods

2 Statistical methods

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3 All linear models in this analysis are based on the "weighted least-squares" method and 4 were done using the statistical software package Origin 8 (OriginLab Corp., Northampton, MA). 5 Due to relatively small sample sizes, we report the coefficients of determination (R<sup>2</sup>) in terms of the adjusted R<sup>2</sup> values. We also report the Spearman's correlation coefficient (r) and one-way 6 ANOVA p-value (P) at a significance level equal to the  $99^{th}$  confidence level (P < 0.01). 7 8 9 Site Description 10 This research was carried out at the Wind River Canopy Crane AmeriFlux research station (45° 49' 13.76" N, 121° 57' 06.88" W, 371 m above sea level) in southern Washington 11 12 State during the years of 1999-2007. The 85 m tall canopy crane is located in a 500-hectare old-13 growth, conifer forest in the T.T. Munger Research Natural Area, a protected section of the 14 Gifford Pinchot National Forest. The site has been unmanaged for centuries since originating 15 from a natural fire disturbance. Despite the surrounding complex terrain of the western Cascade Mountains, the forest is located in a relatively flat valley (slope is 3.5%). Maximum 16 17 micrometeorological fetch reaches approximately 2 km within the homogeneous forest (Paw U et 18 al., 2004). Shaw et al. (2004) provide a detailed site description for Wind River. In brief, the 19 two dominant tree species are Douglas-fir (*Pseudotsuga menziesii* (Mirbel) Franco) and western 20 hemlock (Tsuga heterophylla (Raf.) Sarg). Secondary tree species include western red-cedar (Thuja plicata Donn.), Pacific silver fir (Abies amibilis (Dougl.) Forb.), western white pine 21 (Pinus monticola Dougl.), noble fir (Abies procera Rehd.), and grand fir (Abies grandis (Dougl.) 22 23 Lindl.). Trees within the site range in age from 0 to approximately 500 years old and reach

- 1 maximum heights of 60 meters. Leaf area index (LAI) has been estimated between 8.2 9.2 m<sup>2</sup>
- 2 m<sup>-2</sup> (Thomas & Winner, 2000; Parker et al., 2004) and total biomass is estimated at 619 Mg C m<sup>-2</sup>
- 3 <sup>2</sup>, of which 221 Mg C m<sup>-2</sup> is stored in the soil and detritus (Harmon *et al.*, 2004). The local
- 4 climate is characterized by very wet and mild (1 °C, mean daily temperature) winters
- 5 interspersed with a strong, seasonal drought (10% of annual precipitation) and warmer
- 6 temperatures during the summers (Shaw *et al.*, 2004; Falk *et al.*, 2005).

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## Carbon and water fluxes

9 Ecosystem carbon dioxide and water vapor fluxes were measured using eddy covariance 10 (EC) methodology (see Swinbank, 1951; Goulden et al., 1996; Paw U et al., 2000). The EC 11 system consisted of a sonic anemometer (Solent HS, Gill Instruments, Lymington, England, UK) 12 which measured the wind velocity vectors and sonic temperature, and a closed-path infrared gas 13 analyzer (IRGA) (LI-6262 until 2006, LI-7000 after 2006, LI-COR, Lincoln, Nebraska, USA) 14 which measured the concentrations (mixing ratios) of H<sub>2</sub>O and CO<sub>2</sub> at 10 Hz. The IRGA and 15 sonic anemometer were mounted on a 5 meter long boom at a height of 67 meters on the crane 16 tower so that the anemometer faced west, the predominant wind direction. Carbon dioxide (umol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) and water vapor (mmol H<sub>2</sub>O m<sup>-2</sup> s<sup>-1</sup>) fluxes were time averaged over 30-17 minute periods using methods described in Paw U et al. (2004) and Falk (2005). Half-hour CO<sub>2</sub> 18 19 fluxes were further screened for outliers, gap-filled for missing values (using a running-mean 20 approach, Reichstein et al., 2005), and nighttime corrected for erroneous flux measurements taken during low turbulence conditions using a friction velocity (u\*) threshold of 0.3 m s<sup>-1</sup> (Paw 21 22 U et al., 2004; Falk, 2005; Falk et al., 2008).

- 1 The flux data include a continuous, nine year record starting in January 1999 and ending
- 2 in December 2007 (31% of the data were missing or removed because of undesired wind
- 3 directions or heavy precipitation), with all carbon dioxide exchange components integrated to
- 4 daily, monthly and annual sums. Daily net ecosystem production (NEP) (g C m<sup>-2</sup> day<sup>-1</sup>) was
- 5 calculated as the sum of half-hour carbon dioxide fluxes,

$$NEP = -\sum (F_c + S_c) \tag{1}$$

- F<sub>c</sub> in Eqn (1) is the direct CO<sub>2</sub> flux measurement from the EC system. The carbon
- 8 storage flux, Sc, was routinely computed for all half-hours using the mean carbon dioxide
- 9 concentration at the top of the canopy. The effects of S<sub>c</sub> on monthly and annual NEP sums were
- 10 found to be negligible because S<sub>c</sub> integrated to zero over monthly and annual time scales (Falk,
- 11 2005). F<sub>c</sub> fluxes are negative when more carbon dioxide enters the plant canopy than is released
- to the atmosphere as a result of ecological processes. NEP, by definition, is positive when the
- 13 net transport of carbon dioxide is downward into the canopy.
- Daily ecosystem respiration (g C m<sup>-2</sup> day<sup>-1</sup>), R<sub>eco</sub>, was estimated based on an empirically
- derived, exponential fit ( $a \cdot e^{b \cdot T_{a2}}$ ) between half-hour, nighttime F<sub>c</sub> data taken under sufficient
- atmospheric mixing (when  $u_*$  is > 0.3 m s<sup>-1</sup>) and the 2 meter air temperature,  $Ta_{02}$ , and corrected
- 17 for moisture limitations in the summer months (Falk *et al.*, 2005):

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$$R_{eco} = \sum ((y_o + c * \exp(d * \theta_v)) * (a * \exp(b * Ta_{02}))$$
 (2)

- The first term on the right hand side of Eqn (2) is a respiration attenuation function which
- 20 is activated when soil water content ( $\theta_v$ ) drops below 0.2 m<sup>3</sup> m<sup>-3</sup> and prevents the overestimation
- of ecosystem respiration on warm, dry summer days. All equation parameters (a-d, y<sub>0</sub>) were
- empirically derived from nighttime flux data collected during periods when  $u_* > 0.3 \text{ m s}^{-1}$ . For
- further details on the derivation of the respiration expression see Falk et al. (2005).

Daily NEP and  $R_{eco}$  sums were used to estimate gross primary production (GPP) (g C m<sup>-2</sup> day<sup>-1</sup>),

$$GPP = NEP + R_{eco} \tag{3}$$

In Eqn (3),  $R_{eco}$  and GPP are always positive fluxes and GPP is greater than  $R_{eco}$  if NEP is positive. Monthly and annual NEP,  $R_{eco}$ , and GPP estimates were calculated from the sum of their corresponding daily fluxes (Falk *et al.*, 2008). In this study we calculated annual NEP based on the Julian calendar year (January through December).

## Meteorological data

Historical (1950-1977) monthly total precipitation and mean air temperature data were available from the Wind River Meteorological Station (45° 28' 47.99" N, 121° 33' 36" W, 351 m a.s.l.). Daily precipitation and air temperature data were available from 1977 through 2007 at the nearby Carson Fish Hatchery NOAA station (45° 31' 12" N, 121° 34' 48" W; 345.6 m a.s.l). The historical climate date record was used because it captures local temperature and precipitation anomalies during two complete PDO cycles between 1950 and 2007. We normalized the precipitation and air temperature data records based on the historical mean and standard deviation to produce standardized anomalies that could easily be compared to the climate indices. Positive (negative) standardized anomalies indicate wetter (drier) than normal or warmer (cooler) than normal conditions.

Helsinki, Finland) and downwelling photosynthetically active radiation (PAR) (190-SB, LI-COR) were measured at heights of 2 m (below canopy) and 70 m (above canopy) along the crane

At the canopy crane, air temperature and relative humidity (HMP-35C, Vaisala Inc.,

- 1 tower. The meteorological measurements were collected as 30-minute averages from January
- 2 1999 through December 2007.

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- VPD and efficiency parameters
- 5 The influences of meteorological driving mechanisms on CO<sub>2</sub> and H<sub>2</sub>O fluxes are often
- 6 manifested in efficiency parameters (e.g., WUE and LUE) (Loomis & Williams, 1963; Lemon,
- 7 1969; Monteith, 1972, 1977). Above canopy vapor pressure deficit (VPD), light use efficiency
- 8 (LUE), water use efficiency (WUE), and fraction of intercepted photosynthetically active
- 9 radiation (FPAR) were derived using a combination of meteorological and eddy covariance
- 10 instrumentation data.
- VPD is an important driver of canopy gas-atmosphere exchange because it influences
- stomatal conductance and can limit photosynthetic CO<sub>2</sub> uptake and leaf water loss when VPD is
- high, particularly when soil moisture is limiting (Schulze, 1986). Daily mean VPD was
- calculated using the half-hourly, 70 meter air temperature and relative humidity data during
- 15 daylight periods only.
- LUE is an estimate of the efficiency in which plants use light for carbon assimilation
- 17 (Monteith, 1972, 1977). In Eqn (4), we define daily LUE in terms of mass of carbon assimilated
- 18 (g C) for every megajoule (MJ) of light intercepted by the canopy,

$$19 LUE = GPP/Q_i (4)$$

- Where, GPP is gross primary productivity (g C m<sup>-2</sup> day<sup>-1</sup>) and Q<sub>i</sub> is intercepted incoming
- 21 PAR through the canopy (MJ m<sup>-2</sup> day<sup>-1</sup>),

$$Q_i = Q_a (1 - e^{(-LAI * k)})$$
 (5)

In Eqn (5), Q<sub>a</sub> is above canopy incident PAR (MJ m<sup>-2</sup> day<sup>-1</sup>), LAI = 8.5, and the light
extinction coefficient (k) was estimated using the Beer-Lambert law (range = 0.45 to 0.51). Our

LUE methodology was adapted from Gower *et al.* (1999).

The fraction of PAR intercepted within the canopy (FPAR) was also estimated from incoming PAR measurements taken above ( $Q_a$ ) and below ( $Q_b$ ) the canopy at heights equal to 70 m and 2 m above the ground surface, respectively. Mean midday FPAR was estimated for each day using the half-hour measurements between 1000 and 1500 Pacific Standard Time (PST), Eqn (6),

$$9 FPAR = 1 - (Q_b / Q_a) (6)$$

Diffuse radiation measurements at the canopy crane were not available for an assessment of any PAR-GPP response curve differences due to direct versus diffuse radiation absorption.

Instead, we categorized daily incoming PAR data into dark and cloudy, cloudy, partly cloudy and sunny periods based on an index called the clear sky fraction (CSF), defined in Eqn (7),

$$CSF = Q_a / Q_{amax} \tag{7}$$

CSF was calculated for each day by normalizing midday (1000 through 1500) incoming PAR (Q<sub>a</sub>) by the maximum annual midday PAR value (Q<sub>amax</sub>). CSF by definition ranges from 0 (cloudy, dark sky) to 1 (maximum brightness, clear sky). Here, CSF was used as a proxy for daily diffuse (CSF approaches 0) and direct (CSF approaches 1) radiation conditions. Water use efficiency (WUE) is defined as the total mass of dry matter (mg C) produced by photosynthesis for every gram of water lost by vegetation through transpiration (e.g., Rosenberg *et al.*, 1983). Direct measurements of transpiration (e.g., from sapflow measurements) were not available at

- the site for this time period. Therefore, the WUE expression was modified to represent the total
- 2 mass of carbon (mg C) assimilated for every gram of water lost by the ecosystem through
- 3 evapotranspiration  $(E_T)$ ,

$$4 WUE = GPP / E_T (8)$$

- 5 In Eqn (8), the above canopy latent energy flux was used to calculate E<sub>T</sub> for all daylight half-
- 6 hours when the latent energy flux was greater than zero (Berbigier et al., 2001). A mean midday
- WUE (mg C / g H<sub>2</sub>O) was calculated on a daily basis using the half-hours 1000 through 1500.
- 8 The E<sub>T</sub> data were screened to remove half-hours during and after rainy periods when much of
- 9 evapotranspiration is water evaporating from the wet canopy.

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## Teleconnection patterns

The established methodologies describing how the climate indices are calculated are briefly discussed below and are listed in Table 1. We based our annual climate indices on mean autumn-winter-spring values because regional weather anomalies are most highly correlated to climate indices during the rainy season (Mote *et al.*, 2003). The PDO is defined as the leading principle component of North Pacific sea surface temperature variability and has the strongest "climate footprint" in the North Pacific/North American regions (Mantua & Hare, 2002). Our annual PDO index is defined from November through March using autumn of the prior year and winter of the listed year, e.g., 2004 is defined as November 2003 through March 2004. The historical range (1950-2007) for the annual PDO index is -3 to + 3. The PNA index is both a monthly and daily index estimated from rapidly varying atmosphere pressure anomalies over the North Pacific Ocean and is associated with the strength and location of the East Asian jet stream

(Wallace & Gutzler, 1981). Here, we used the winter months of November-March to compute 1 2 our annual PNA index because the PNA reaches maximum strength around February and has 3 relatively little influence over summer-time temperature variability in North America (Barnston 4 & Livezey, 1987). The annual PNA index range is from -2 to +2. Our study uses the 5 Multivariate ENSO Index (MEI) to describe El Niño and La Niña behavior which is based on six 6 meteorological variables over the tropical Pacific Ocean: sea level pressure, zonal and 7 meridional component of surface wind, SST, surface air temperature, and total cloudiness 8 fraction. The MEI is calculated in the following steps, first, the individual meteorological 9 variables are spatially filtered into clusters, second, the total variance of each variable is 10 normalized, and third, the first principle component on the co-variance matrix of the combined 11 variables is extracted (Wolter & Timlin, 1993). All seasonal MEI values are then standardized 12 with respect to each season and to the historical reference period (Wolter & Timlin, 1993) and 13 annual MEI magnitudes range from -3 to +3. MEI is calculated as a bimonthly index and 14 correlations between MEI and the alternative Southern Oscillation Index (SOI) are high: 0.8 to 15 0.9 (Wolter & Timlin, 1998). In this paper, an annual MEI was computed based on December-February values. All three indices (PDO, PNA and MEI) are assigned positive values during 16 17 positive or warm modes and negative values during negative or cool modes by the data providers 18 listed in Table 1. Between 1950 and 2007, the three indices were positively correlated to each other at the significance level (P < 0.01). Coefficients of determination ( $R^2$ ) were 0.54 (PDO and 19 20 MEI), 0.38 (PDO and PNA), and 0.27 (PNA and MEI) during the winter months. Table 2 shows 21 the frequency of in-phase PDO, PNA and MEI events. Between 1950 and 2007, the three 22 indices were concurrently in a negative phase 30% of the time and in a positive phase 25% of the 23 time.

Due to a high degree of autocorrelation between the three oscillations, we chose to concentrate on their in-phase, additive effects and created a new climate index called the "Composite Climate Index" (CCI). The CCI incorporates the PDO, PNA and MEI magnitudes into a single index by summating the three individual indices. Our annual CCI values ranged from -1.9 (designated as a strong negative phase year) to +3.0 (designated as a strong positive phase year) during the 1999-2007 flux measurement period. Years with CCI values below -1.0 were classified as negative phase years (1999, 2000), years with CCI values above +1.0 were classified as positive phase years (2003, 2005), and years with CCI values between -1.0 and +1.0 were classified as neutral phase years (2001, 2002, 2004, 2006, 2007). The Composite Climate Index was also calculated on a monthly basis to examine the relationships between the climate phases, carbon fluxes and mechanistic variable anomalies over shorter time periods.

#### *MODIS*

Vegetation indices derived from the MODIS spectroradiometer onboard the Terra satellite were subsetted and downloaded for a 2.25 km x 2.25 km area centered on the Wind River Canopy Crane AmeriFlux site (http://daac.ornl.gov/MODIS/). The vegetation indices included 8-day Fraction of absorbed Photosynthetically Active Radiation (FPAR) (1 km resolution, MOD15A2, Collection 5.0) and 16-day Enhanced Vegetation Index (EVI) (250 m resolution, MOD13A2, Collection 5.0) collected from 2000 through 2007. Tower-centered and surrounding pixels which contained large index differences above or below the previous and following 8- and 16-day periods were assumed to be influenced either by other land cover types, issues with MODIS FPAR/EVI inputs, or contamination by atmospheric constituents (e.g. Tian et al. 2002), and were removed from the analysis (14% of the data). Here, we used EVI and

1	FPAR data for the months of May through October to minimize reflectance errors due to snow
2	cover and clouds during the winter months.
3	The MODIS FPAR product is an estimate of the amount of downwelling radiation that is
4	absorbed by the plant canopy and was derived using MODIS atmospherically corrected spectral
5	reflectance bands and the Multi-angle Imaging Spectroradiometer (MISR). These are used to
6	estimate the bi-directional reflectance factors (Knyazikhin et al., 1999; Myneni et al., 2002).
7	The EVI is an index commonly used for enhancing the absorbed and reflective parts of the
8	radiative spectrum typically as a result of above ground vegetation. The EVI uses the near
9	infrared and red bands, and near infrared and blue bands with a gain factor and a canopy
10	background adjustment (Huete et al., 1999). This reduces background errors in the vegetation
11	index due to soil and atmospheric effects whilst remaining sensitive to a high biomass density.
12	The EVI has been applied to examine phenological and land cover changes, and structural
13	attributes of vegetative canopies (e.g., Huete et al., 1999).
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#### 3. Results

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Climatic fluctuations

3 The historical (1950-2007) average for Wind River is 8.83 °C mean annual air 4 temperature and 2366 mm total water-year (October through September) precipitation. During 5 the flux measurement period (1999-2007), positive CCI years were 0.49 °C warmer and 270 mm 6 drier than normal, while negative CCI years were 0.26 °C cooler and 467 mm wetter than 7 normal. Neutral CCI years were slightly warmer (+ 0.13 °C) and drier (- 72 mm) than normal. 8 Fig. 1 shows a time series of the annual precipitation and temperature anomalies (normalized by 9 the mean and standard deviation) and the annual global climate indices over the historical 10 climate record. Negative climate phases were most often associated with positive (greater than normal) precipitation anomalies, while drier years occurred more frequently during positive 11 climate phase events ( $R^2 = 0.18$ , P < 0.01). The relationship between air temperature and climate 12 phase was not significant ( $R^2 = 0.05$ , P > 0.01), although cooler temperatures occurred more 13 14 often during negative climate phases. The largest historical temperature and precipitation 15 anomalies occurred when the three climate indices were all in-phase (Table 3). From 1950-2007, 16 CCI magnitudes ranged from - 4.71 (1957) to + 4.65 (1998), had a Gaussian distribution (Shapiro-Wilk test for normality), a mean around zero (-0.05) and a standard deviation of 2.1 at 17 the 95<sup>th</sup> confidence level (Fig. 2). 18 19 20 Relationship between climate indices, local meteorology and carbon fluxes Figure 3 shows the annual PDO, PNA and MEI time series data as well as the CCI values 21 22 and eddy covariance NEP estimates. The CCI was able to capture the observed trends in the NEP data series. It is important to notice that NEP in Figure 3 is plotted as – NEP (i.e., net 23

ecosystem exchange). This was done so that net carbon uptake years have the same sign 1 2 notation as negative climate phase years which makes it easier to visually identify a trend 3 between the two time series. From 1999 through 2003, the climate indices transitioned to a more 4 positive state whilst annual carbon uptake at the old-growth forest declined and the forest was a 5 significant source of carbon in 2003. Since 2003, the climate indices have transitioned back to 6 more negative phases and carbon uptake has increased over the last couple of years compared to 7 the 2003-2005 annual NEP average. During the nine-year flux measurement period, water-year precipitation ranged from 8 9 1269 mm (October 2000- September 2001) to 2834 mm (October 1998 - September 1999), 10 annual mean temperature from 8.4 °C (2000) to 9.7 °C (2003), and growing season mean temperature from 12.3 °C (1999) to 13.9 °C (2004). The negative phase years were cooler than 11 12 normal during the spring (March, May) and summer (July) months, while positive climate phase 13 temperatures were usually warmer except during mid-winter (Fig 4a). Fig. 4b shows differences 14 in the measured vapor pressure deficit (VPD). Here, July and August are highlighted to show 15 important inter-seasonal variations when dominant negative or positive teleconnection patterns 16 occurred. VPD was lower during mid-summer (July) in cool phase years, coinciding with lower 17 air temperatures. Although, the mean air temperature in August was similar amongst all years, 18 warm phase years had markedly higher VPD indicating the presence of drier, continental air 19 masses. Fig. 5a shows that there is significant relationship ( $R^2 = 0.55$ , P < 0.01) between annual 20 21 NEP and annual CCI and increased carbon uptake is associated with more negative climate 22 phases. The linear model statistics are listed in Table 4 for Fig. 5 as well as all of the "least-23 squares" regressions. Negative climate phases bring cooler than normal air temperatures and

higher than normal winter precipitation amounts. Fig. 5b shows that the relationship between 1 2 monthly CCI and monthly NEP anomalies (deviations from the 1999-2007 mean) has more scatter ( $R^2 = 0.34$ ) than the annual relationship but the relationship is significant (P < 0.001). 3 4 Although the climate indices are not perfect predictors for annual ecosystem CO<sub>2</sub> sink/source 5 strength, they do come close to estimating yearly NEP. The standard error between measured 6 annual NEP and the climate index-predicted NEP (based on a linear model with 1999-2007 data) was 44 g C m<sup>-2</sup> year<sup>-1</sup> and is of the same order of magnitude as the uncertainty in the eddy 7 covariance NEP measurements (± 32 to 54 g C m<sup>-2</sup> year<sup>-1</sup>). GPP anomalies are plotted against 8 the Composite Climate Index in Fig. 6 on both annual ( $R^2 = 0.64$ , P > 0.01) and monthly time 9 scales ( $R^2 = 0.22$ , P < 0.001). While increased carbon uptake (NEP) was associated with 10 negative climate phases in Fig. 5, GPP was higher during positive phases (Fig. 6). Ecosystem 11 respiration anomalies are also positively related to the CCI on annual ( $R^2 = 0.75$ , P < 0.01) and 12 monthly time scales ( $R^2 = 0.33$ , P < 0.001). Increased (decreased) respiration was associated 13 14 with positive (negative) climate phases (Fig. 7). 15 16 Seasonal carbon sink-to-source transition In Fig. 8, monthly NEP (g C m<sup>-2</sup> month<sup>-1</sup>) is plotted for negative or cool phase years 17 18 (1999, 2000), positive or warm phase years (2003, 2005) and neutral phase years (2001-2002, 19 2004, 2006-2007). Notable differences in net carbon exchange occurred during the winter/early 20 spring months (positive phases had on average lower NEP or less net carbon uptake) and 21 summer months (negative phases had on average higher NEP or more net carbon uptake). The 22 boxed section in Fig. 8 highlights a significant event in the NEP data record: the seasonal transition between net carbon sink to net carbon source exchange for the old-growth ecosystem. 23

During positive phase and neutral phase years this transition to net carbon source occurred in 1 2 early summer between the months of May and June, but was delayed during the negative phase 3 years. For example, in 1999, the forest ecosystem did not become a net carbon source until 4 September, nearly four months later than normal. 5 6 Efficiency parameters 7 WUE was significantly lower (P < 0.01) during negative CCI years than during positive CCI years (Fig 9a). For comparison, water-year precipitation was 467 mm greater than normal 8 9 in negative years and 270 mm below normal in positive phase years. Mean ( $\pm$  one standard deviation) April-September WUE was  $3.4 \pm 0.9$  mg C / g H<sub>2</sub>O (cool phase),  $4.1 \pm 1.5$  mg C / g 10 11  $H_2O$  (warm phase), and  $4.2 \pm 0.9$  mg C / g  $H_2O$  (neutral phase). Growing season LUE was significantly higher (P < 0.01) during positive CCI years (0.94 g C MJ<sup>-1</sup>) than during either 12 negative (0.83 g C MJ<sup>-1</sup>) or neutral (0.83 g C MJ<sup>-1</sup>) CCI years. The old-growth forest canopy 13 14 was most efficient at using light for photosynthesis during positive phase spring months (Fig 9b). 15 Increased early-growing season air temperatures appear to increase LUE in the old-growth 16 canopy. However, LUE during positive phase years is limited during the summer months by 17 high VPD (Fig 4b). In addition, light-response curves (daily GPP versus daily incoming PAR) indicated that GPP saturated at a lower light level (4 to 5 MJ day<sup>-1</sup>) during negative phase years 18 than during positive phase years (6 to 7 MJ day<sup>-1</sup>). 19 20 Larger climate phase differences in LUE were found after the data were split into cloudy, 21 partly cloudy and sunny days. 1999-2007 daily LUE was negatively correlated with clear-sky fraction, such that LUE was highest on cloudy days (1.72 g C MJ<sup>-1</sup>) and lowest on clear days 22 (0.55 g C MJ<sup>-1</sup>). The largest LUE differences were measured during strong teleconnection 23

events in 1999 and 2003: spring (March-May) LUE was  $1.74 \pm 0.48 \text{ g C MJ}^{-1}$  in 1999 and  $2.67 \pm$ 1 0.81 g C MJ<sup>-1</sup> in 2003 on cloudy days. Higher LUE in spring 2003 could not be attributed to a 2 3 difference in the number of cloudy days: 33 in 1999 and 37 in 2003. August LUE was  $1.02 \pm$  $0.51 \text{ g C MJ}^{-1}$  in 1999 and  $0.67 \pm 0.26 \text{ g C MJ}^{-1}$  in 2003, and again LUE differences could not be 4 5 explained by variations in sky cover. August in 1999 and 2003 had nearly the same number of 6 cloudy/partly cloudy days (14 and 15, respectively) as sunny days (16 and 15, respectively). 7 Tower-based FPAR measurements differed little (< 2%) during the nine year period even 8 as climate variability was high. 9-year average FPAR was  $0.976 \pm 0.02$  and no seasonal trends 9 were detected. FPAR did increase slightly with both positive CCI phases and warmer air 10 temperatures, suggesting that temperature fluctuations associated with positive climate phases were driving small, but insignificant differences in tower-based FPAR. 11 12 13 MODIS vegetation indices 14 MODIS-derived EVI was associated with variations in the CCI. Fig. 10 shows a time 15 series plot of monthly CCI (10a), and monthly and mean growing season EVI (10b). Two trends 16 are apparent: (1) the climate phases have become more positive since 1999 and appeared to shift 17 to a warmer phase in 2002, whilst EVI steadily decreased from 2000-2005 and, (2) climate 18 phases may be returning to a cooler or more negative state starting in 2006, and higher EVI 19 magnitudes were observed in 2007. Monthly CCI and EVI (May-October, 2000-2007) were negatively related so that cooler climate phases are associated with higher EVI (Fig. 11a,  $R^2$  = 20 21 0.28, P < 0.001). Also, monthly NEP anomalies were positively related to monthly EVI fluctuations ( $R^2 = 0.34$ ) at the significance level (P < 0.001). Overall, higher net carbon uptake 22 23 (more positive NEP) was associated with higher than normal EVI (Fig. 11b). Climate phase-year

- differences in the MODIS FPAR product were small (< 2%) and insignificant (P > 0.01).
- 2 Average 8-year MODIS-derived FPAR was 0.90 which is less than the tower-based estimate of
- 3 0.976 and did not show any climate phase or seasonal trends.

#### 4. Discussion

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2 Our historical (1950-2007) precipitation and mean temperature anomalies during in-3 phase teleconnection patterns are consistent with independent climatological datasets in the 4 region (e.g., McCabe & Dettinger, 1999; Mote et al., 2003). Negative and positive in-phase PDO and ENSO events have historically differed by 1 °C for mean air temperature and 20% for 5 6 total annual precipitation in the Pacific Northwest. Similarly, we reported anomalies of 1 °C for 7 mean temperature and 30% for precipitation in the historical Wind River climate record during 8 dominant positive and negative climate phases. 9 We were fortunate that there is an evergreen, conifer forest on Vancouver Island, British 10 Columbia, Canada that has a long-term NEP record (Morgenstern et al., 2004; Humphreys et al., 11 2006; Schwalm et al., 2007) which overlaps ours at Wind River. Both sites are Douglas-fir 12 forests and are exposed to weather patterns originating over the Pacific Ocean. The NEP records 13 provide an excellent opportunity to compare the results found in this study for an old-growth 14 forest with those at the younger B.C. stand. Annual NEP at the Vancouver Island site matches 15 our observed NEP data at Wind River during the 1998-1999 El Niño-La Niña phase shift. Although the 1998 measurement year at Wind River was incomplete we estimate that the old-16 growth forest was a small sink or small source of carbon to the atmosphere (NEP = -75 to 50 g C 17  $m^{-2}$  year<sup>-1</sup>) but became a much stronger sink in 1999 (NEP = 217 ± 40 g C  $m^{-2}$  year<sup>-1</sup>). Similarly, 18 Morgenstern et al. (2004) report higher NEP (328 g C m<sup>-2</sup> year<sup>-1</sup>) during the 1999 La Niña than 19 during the strong El Niño year of 1998 (296 g C m<sup>-2</sup> year<sup>-1</sup>). Interestingly, NEP trends at Wind 20 21 River and Vancouver Island deviate during the next strong El Niño phase in 2003. At Wind River, we measured the lowest NEP (-100 g C m<sup>-2</sup> year<sup>-1</sup>) on record during an unusually warm 22 23 2003 ( $\Delta$  annual temperature = + 1.0 °C) while at Vancouver Island, NEP was relatively high in

2003 (318 g C m<sup>-2</sup> year<sup>-1</sup>) and did not significantly decline until the following year (194 g C m<sup>-2</sup> 1 year<sup>-1</sup>) (Schwalm et al., 2007). The NEP component fluxes, R<sub>eco</sub> and GPP, provide clues for why 2 3 a one year lag in declining NEP appeared between the Wind River and Vancouver Island forests 4 since seasonal weather anomalies at the flux towers were similar. In 2003, respiration fluxes were near average ( $\Delta R_{eco} = -62$  g C m<sup>-2</sup> year<sup>-1</sup>, based on 1998-2004 mean) at Vancouver Island 5 while we estimated the highest annual  $R_{eco}$  on record ( $\Delta R_{eco}$  = +407 g C m<sup>-2</sup> year<sup>-1</sup>, based on 6 1999-2004 mean). Record high  $R_{eco}$  ( $\Delta R_{eco} = +320 \text{ g C m}^{-2} \text{ year}^{-1}$ ) was not measured until 2004 7 8 at Vancouver Island and corresponded with the 2004 drop in NEP. Smaller phase-year 9 variability was observed in the GPP fluxes at both sites. These two forests have vastly different 10 carbon pools largely due to variations in stand age (450 to 500 years old versus 60 years old) and 11 management history (e.g., 21% of the total carbon pool at Wind River is stored in detritus, 12 Harmon et al., 2004) so the observed delay in declining NEP behavior could be from site 13 differences in respiration rates. 14 At Wind River, highest annual NEP was associated with negative climate phases while highest GPP and R<sub>eco</sub> fluxes were associated with positive climate phases. Hence, it is not a 15 16 substantial increase in GPP which is causing increased carbon uptake during negative climate 17 phases. Instead, higher NEP during negative phases resulted from a substantial decrease in 18 respiration rates which were strongly driven by cooler temperatures in 1999 and 2000 (Falk et 19 al., 2008). The increased carbon sink activity during the negative phase years was primarily due 20 to attenuated respiration fluxes during the cooler than normal summer months. Monthly 21 maximum respiration fluxes did not occur until August during the strong climate phase, although R<sub>eco</sub> typically peaks in June or early July at the Wind River forest (Falk et al., 2008). GPP fluxes 22 23 were also smaller during strongly negative CCI periods although the variation in GPP was less

l	than that observed in $R_{eco}$ . Respiration flux anomalies (versus GPP anomalies) appear to be the
2	stronger driver of variability in net ecosystem productivity at our site. Ours is not the first study
3	to suggest that respiration anomalies play a dominant role in net ecosystem exchange (see
4	Valentini et al., 2000). Increased (decreased) NEP has been associated with reduced (increased)
5	respiration fluxes at a number of European and North American FLUXNET sites (Lee et al.,
6	1999; Law et al., 2000; Pilegaard et al., 2003; Morgenstern et al., 2004) including an old-growth
7	Ponderosa pine stand in central Oregon (Schwarz et al., 2004). It is important to consider that
8	most of these studies have concentrated on the effects that temperature and precipitation
9	anomalies have on NEP and while a relationship between respiration and the two environmental
10	variables is often found, GPP is instead strongly influenced by changes in canopy absorbed PAR
11	and VPD. Luyssaert et al. (2007) argue that differences in methodology and measurement
12	periods have produced conflicting conclusions on whether respiration or photosynthesis controls
13	NEP. Furthermore, respiration fluxes are not independent of GPP because $R_{\text{eco}}$ is strongly
14	influenced by the allocation of photosynthate and decomposition of biomass to the extent where
15	some respiration fluxes, e.g. soil respiration, may be correlated more to GPP than to temperature
16	(Janssens et al., 2001). A link between higher (lower) annual Reco and higher (lower) annual
17	GPP has in fact been strongly established amongst numerous FLUXNET sites (Janssens et al.,
18	2001; Baldocchi, 2008) including Wind River (Falk et al., 2008). Hence, we stress that some
19	caution is warranted when we describe the roles of GPP and $R_{\text{eco}}$ behavior on annual NEP during
20	different climate phase events.
21	In low-elevation forests in the Pacific Northwest, radial growth is limited by low summer
22	precipitation and high summer temperatures and increases with higher winter precipitation (Case
23	& Peterson, 2005). Likewise, the mechanistic variables at Wind River revealed interesting

climate-phase related differences during spring and summer months. We found that WUE at the 1 2 old-growth forest differed from warm phase to cool phase years depending on vegetative water 3 demand and atmospheric humidity. Although our WUE estimates include evaporation as well as 4 transpiration in the E<sub>T</sub> measurement we were careful to removed time periods when evaporation 5 was the dominate water vapor flux. During periods with plentiful precipitation and low VPD. 6 water stress is not chronic and trees can "afford" to keep their stomates open during midday 7 hours throughout the summer to optimize photosynthesis because subsequent water loss 8 (transpiration) is replenished from soil water reserves. In this case, WUE will be relatively low 9 because transpiration is not attenuated during the afternoon hours. On the other hand, stomates 10 regulate (limit) the amount of water lost through transpiration when VPD is high (via higher 11 stomatal resistance) and when soil moisture is limiting, typically producing higher WUE during 12 warm and dry conditions. Our WUE findings are consistent with Wind River WUE data 13 reported by Chen et al. (2002) during the 1998-1999 ENSO transition. They estimated summer 14 WUE values of  $2.7 \pm 4.4 \text{ mg C} / \text{g H}_2\text{O}$  in 1998 (El Niño) and  $1.0 \pm 2.3 \text{ mg C} / \text{g H}_2\text{O}$  in 1999 15 (La Niña) (Chen et al., 2002). Our 1999 WUE is higher than the number reported by Chen et al. (2002) but this is likely due to differences in methodology and averaging periods since the flux 16 17 data were post-processed independently by both groups. Chen et al. (2002) defined WUE in 18 terms of the NEP flux while we define WUE using the GPP flux. Our WUE estimates are closer 19 in magnitude to the range (2 to 5 mg C/g H<sub>2</sub>O) reported by Law et al. (2002) for evergreen, 20 conifer forest FLUXNET sites. Our variability in WUE at Wind River was nearly 2 mg C / g 21 H<sub>2</sub>O which resulted from significant differences in weather conditions (e.g., VPD and air 22 temperature) during positive and negative climate phases. Highest WUE occurred during the

1 most positive CCI year (2003), a period of warmer and near-normal precipitation, and was 2 attributed more to higher GPP than to lower E<sub>T</sub>.

LAI is relatively stable at Wind River in lieu any major disturbance event (Thomas & Winner, 2000) so any year-to-year differences in LUE from 1999 to 2007 could not be attributed to significant leaf area change. The higher light saturation point for GPP during the strongest positive CCI year (2003) suggests that warmer spring-time temperatures were driving higher photosynthetic rates with no subsequent evidence of soil water limitations, which would have had the effect of reducing GPP by inducing significant periods of stomatal closure. Higher LUE and a higher saturation point for GPP both indicate that climate conditions were optimal for photosynthesis in the spring of 2003. Mean air temperature was 1 °C warmer than normal and precipitation was 95% of normal that year.

Our two point-based (2 m and 70 m) measurement of tower FPAR (0.976) was higher than previous vertical PAR-transect estimates (0.92) taken by Parker *et al.* (2002), but we suggest that any overestimation of intercepted PAR is of small concern in this paper since we highlight variability from the mean over absolute values. The tower-based, annual mean FPAR fluctuations were small (< 2 %) suggesting that there were no significant structural canopy changes over the nine years, and agree with observations of no significant disturbances reported within the stand. Small changes (< 3%) in annual FPAR at undisturbed forests are not uncommon and have been reported for a number of European conifer forests (Reichstein *et al.*, 2007). The MODIS-derived FPAR index also did not show significant variability at the tower-pixel scale. On the other hand, we did observe significant climate phase-driven GPP anomalies at the Wind River flux tower. FPAR estimates at evergreen forests may not reflect observed changes in GPP (Reichstein *et al.*, 2007) and it is not uncommon for MODIS to underestimate

FPAR at high LAI sites (Turner et al., 2005). This is because the physiological controls over 1 2 photosynthesis (e.g., stomatal control, enzyme activity) have little effect on the MODIS FPAR 3 product (Reichstein et al., 2007). 4 In contrast, we were able to detect MODIS-derived EVI variability at the tower-pixel 5 level and link this to climate anomalies associated with teleconnection patterns. It is not likely 6 that the variability in EVI was caused by structural forest changes since no major disturbances or 7 significant changes in biomass or species composition occurred during the last eight years. The 8 infrared band in the EVI calculation is sensitive to changes in soil moisture which is one possible 9 cause of EVI variability in forest canopies. Although we do not expect this to be the case at 10 Wind River because high biomass will reduce the impact of the soil moisture signal on the 11 overall EVI. In fact, an earlier remote sensing study at Wind River using 2001-2003 MODIS 12 water indices showed that seasonal water content of this forest follows a similar trajectory to the

tower-based NEP measurements (Cheng et al., 2007). These results, in addition to our study,

show the potential for using MODIS water and vegetation indices to identify landscape-scale

anomalies in old-growth carbon exchange during strong teleconnection events.

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#### 5. Conclusions

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Recent high frequency variability in the PDO since 1998 gave us a unique opportunity to examine additive teleconnection influences on the forest ecosystem as both strong negative (1999 and 2000) and positive (2003 and 2005) in-phase teleconnection events occurred between the PDO, PNA and ENSO during our flux measurement period. This study presented novel results that compare prolonged weather patterns resulting from dominant teleconnection leading modes and their influence on mass exchange at an old growth forest. We found that variability of net carbon exchange and canopy processes for an old-growth forest in the Pacific Northwest can be linked to large-scale teleconnection patterns. The largest ecosystem anomalies in NEP, GPP, R<sub>eco</sub>, LUE, WUE, and EVI occurred during strong, in-phase climate events. On the other hand, year-to-year changes in tower-based and MODIS-derived FPAR were small and insignificant. Structural changes in the old-growth canopy cannot explain the variability of net ecosystem carbon exchange at Wind River, unlike some younger (50 to 150 years old) forests where structural change and biotic response does play an important role in explaining NEP variability (Richardson et al., 2007, Urbanski et al., 2007). Observations of CO<sub>2</sub>, H<sub>2</sub>O and energy fluxes during positive and negative teleconnection leading modes may allow us to estimate how biosphere-atmospheric exchanges will vary over annual to decadal time scales. Furthermore, the ability to predict high frequency climate variability has improved during the last decade as our understanding of teleconnection behavior also improves (Chen et al., 2004). The results of our study suggest that increases in the frequency or duration of cool-phase Pacific teleconnection patterns will increase annual carbon sequestration in mature Pacific Northwest conifer forests. However, stronger and more frequent warm phases will likely decrease net carbon uptake if increases in respiration fluxes are greater

1	than any subsequent increases in GPP. Such strong, warm phase events can be considered to be
2	"natural experiments" for testing hypotheses related to possible climate change scenarios.
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#### 1 Tables

$\mathbf{a}$
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Name	Years used	Time resolution	Definition	Data source	Reference
PDO	1950- 2007	Monthly, annual	Leading PC from an un-rotated EOF analysis of monthly residual North Pacific SST anomalies	http://jisao.washin gton.edu/pdo/PDO. latest	Mantua <i>et al.</i> , 1997
PNA	1950- 2007	Daily, monthly, annual	Leading eigenvector from a rotated PCA based on the 700 hPa height field in the North Pacific	ftp://ftp.cpc.ncep.n oaa.gov/wd52dg/d ata/indices/tele_ind ex.nh	Wallace & Gutzler, 1981
MEI	1950- 2007	Bimonthly, annual	First PC of six observed variables (SLP, zonal and meridional component of surface wind, SST, surface air temperature, total cloudiness fraction) over the tropical Pacific	http://www.cdc.no aa.gov/people/klau s.wolter/MEI/table. html	Wolter & Timlin, 1993, 1998

3

- 4 Table 1 Methodology and references for the Pacific Ocean climate indices. PC = principal
- 5 component, PCA = principal component analysis, EOF = empirical orthogonal function, SST =
- 6 sea surface temperature, SLP = sea level pressure.

7

	PDO –	PDO –	PDO –	PDO –	PDO +	PDO +	PDO +
	PNA –	PNA –	PNA +	PNA +	PNA –	PNA –	PNA+
	MEI –	MEI +	MEI –	MEI +	MEI –	MEI +	MEI –
Frequency	16	7	5	5	6	1	4

Flux Years	1999, 2000	2002	2007	2006	2001	2003, 2004, 2005

8

- 9 Table 2 The frequency of occurrence for the eight climate-phase combinations for the historical
- data record (1950-2007) and during the flux measurement period (1999-2007).

11

12

PDO +

PNA + MEI +

1

	Negative	e Phase		Positive Phase			
	PDO	PDO PDO PDO &		PDO PDO &		PDO &	
		&	PNA &		PNA	PNA &	
		PNA	MEI			MEI	
water-year precipitation	2596	2762	2971	2117	1960	2069	
(mm)							
dry season precipitation	130	150	171	110	123	125	
(mm)							
annual mean	8.69	8.50	7.90	8.84	8.82	8.90	
temperature (°C)							
rainy season	3.34	3.36	3.12	3.76	3.53	3.87	
temperature (°C)							
dry season temperature	16.61	16.71	15.91	16.55	16.62	16.84	
(°C)							
NEP (g C m <sup>-2</sup> year <sup>-1</sup> )	+ 130 (±53)			- 57 (±38)			
(± uncertainty)							

2

- 3 Table 3 Additive effects of PDO, the in-phase PDO and PNA, and the in-phase PDO, PNA and
- 4 MEI on historical local climate (1951-2007) and tower NEP (1999-2007) data. Weak phases of
- 5 El Niño/La Niña and PNA are considered phase-neutral and those years were not included. The
- 6 rainy season is defined as October through March; dry season is July through September.
- 7 Uncertainty in NEP was estimated from an error analysis of random and systematic errors in the
- 8 eddy covariance data.

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Independent	Dependent	Time	n	$\mathbb{R}^2$	r	P
variable	variable	resolution				
CCI	precipitation	annual	57	0.18	-0.41	P < 0.01
CCI	temperature	annual	57	0.05	0.28	P > 0.01
CCI	NEP	annual	9	0.55	-0.71	P < 0.01
		monthly	83	0.34	-0.59	P < 0.001
CCI	GPP	annual	8	0.64	0.78	P > 0.01
		monthly	76	0.22	0.47	P < 0.001
CCI	Reco	annual	8	0.75	0.83	P < 0.01
		monthly	76	0.33	0.53	P < 0.001
CCI	EVI	monthly	44	0.28	-0.49	P < 0.001
ΔΕVΙ	ΔΝΕΡ	monthly	44	0.34	0.52	P < 0.001

3 Table 4. Linear model statistics including number of data points, adjusted coefficient of

4 determination (R<sup>2</sup>), Spearman correlation coefficient (r) and ANOVA p-value show significant

relationships between nearly all of the CCI, tower flux and MODIS EVI measurements.

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#### Figure Legends

- 2 Fig. 1 Time series plot of standardized precipitation anomalies (bars) (a) and standardized
- 3 temperature anomalies (bars) (b) from the Wind River region (1950-2007) in comparison to the
- 4 annual MEI, PNA and PDO indices.

5

1

- 6 Fig. 2 The frequency of annual Composite Climate Index magnitudes from 1950 to 2007 with the
- 7 flux measurement years labeled.

8

- 9 Fig. 3 Time series of the annual MEI (a), PNA (b), PDO (c) and CCI (d) from 1950-2007 and NEP
- measurements from 1999-2007. Positive magnitudes indicate warm climate phases and net carbon
- source years. Negative magnitudes indicate cool climate phases and net carbon sink years.

12

- Fig. 4 Monthly average air temperature (a) and vapor pressure deficit (b) associated with positive
- (warm), negative (cool), and neutral climate phases from 1999-2007.

15

- Fig. 5 Greater net carbon uptake is associated with more negative annual CCI ( $R^2 = 0.55$ , P <
- 17 0.01) (a). Monthly anomalies in NEP show more scatter ( $R^2 = 0.34$ ) but are significantly
- 18 correlated (P < 0.001) to the CCI (b).

19

- 20 Fig. 6 Annual (a,  $R^2 = 0.64$ , P > 0.01) and monthly (b,  $R^2 = 0.22$ , P < 0.001) GPP anomalies are
- 21 positively related to the CCI. Higher photosynthesis was observed during positive climate
- 22 phases.

Fig. 7 Annual (a,  $R^2 = 0.75$ , P < 0.01) and monthly (b,  $R^2 = 0.33$ , P < 0.001)  $R_{eco}$  anomalies are 1 2 positively related to the CCI. Reduced ecosystem respiration was observed during negative 3 climate phases. 4 5 Fig. 8 Monthly integrated NEP associated with the three dominant climate phases. The black 6 box highlights the seasonal transition from net carbon sink to net carbon source (e.g., where the 7 summer-time NEP crosses zero). This occurs in late May for positive or warm phase years, mid-8 June for neutral phase years, and mid-July for negative or cool phase years. 9 10 Fig. 9a Monthly mean midday WUE during positive (warm) and negative (cool) climate phases. 11 Largest climate-phase WUE differences occur during the summer months. Fig. 9b Monthly LUE 12 during positive (warm) and negative (cool) phase for all midday hours regardless of sky 13 condition. Rainy season months have been excluded from the figures. 14 15 Fig. 10 Monthly time series plot of the Composite Climate Index (a) and the MODIS-derived 16 EVI from 1999 (MODIS starts in 2000) through 2007 (b). The large triangles are annual mean 17 growing season EVI. 18 Fig. 11 Monthly growing season EVI (2000-2007) is negatively related ( $R^2 = 0.28$ , P < 0.001) to 19 20 the monthly CCI (a). The relationship between monthly NEP anomalies (2000-2007) and monthly EVI anomalies is significant ( $R^2 = 0.34$ , P < 0.001) and higher EVI is generally 21 22 associated with greater net carbon uptake (b). Note that the sign notation in Fig. 11b has been

1	switched on the x-axis so that positive values are on the left hand side of the y-axis and negative
2	values are on the right hand side.
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#### Figures

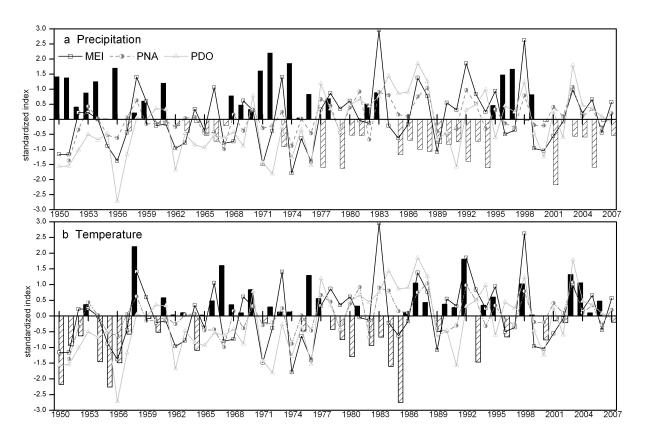


Fig. 1

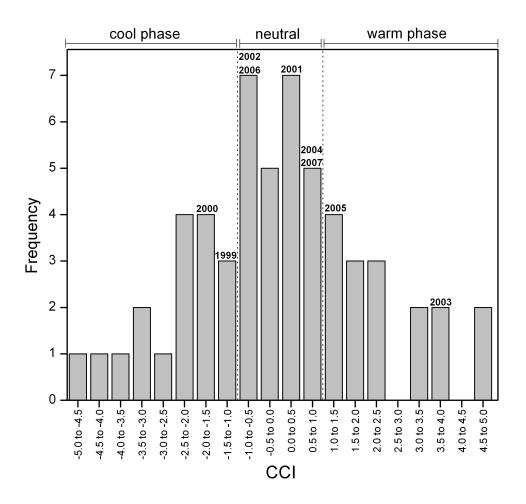
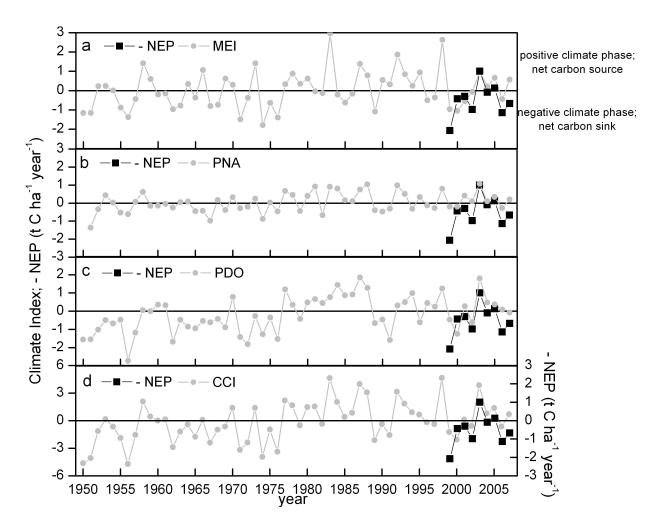
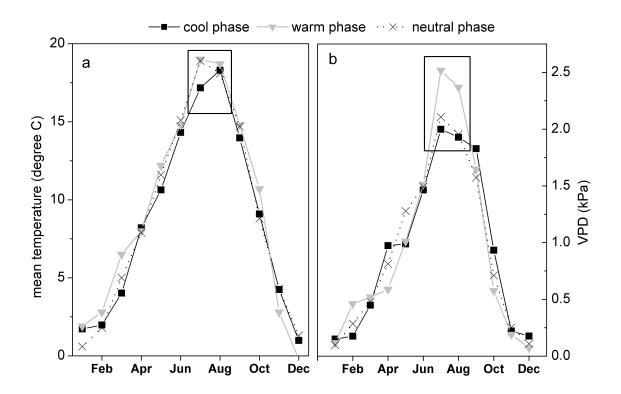
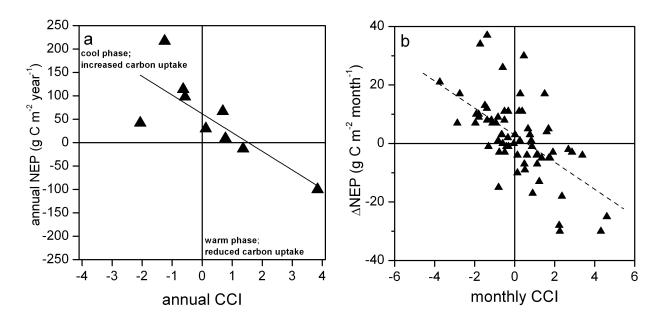
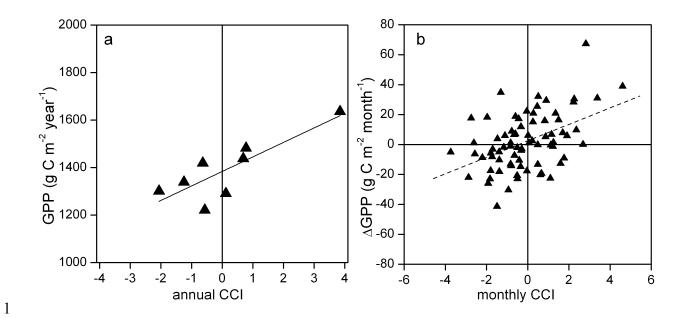


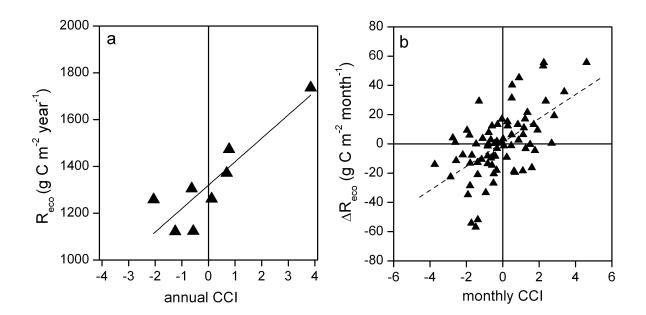
Fig. 2

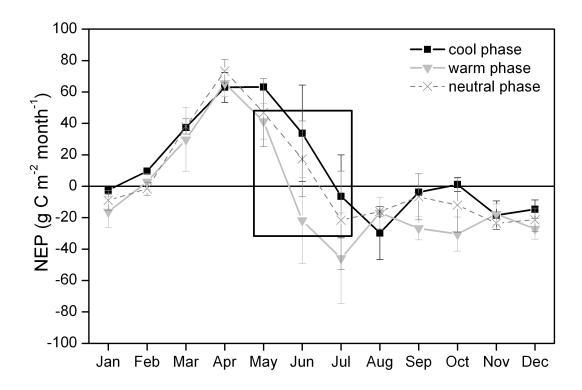




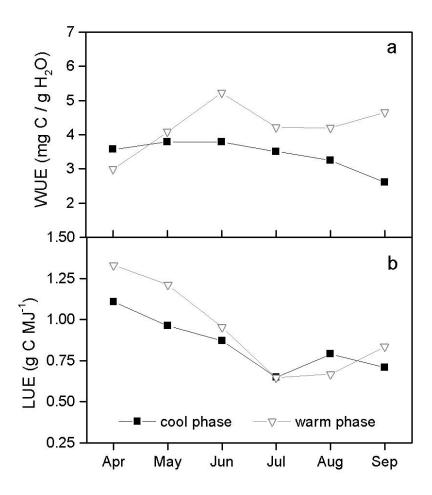






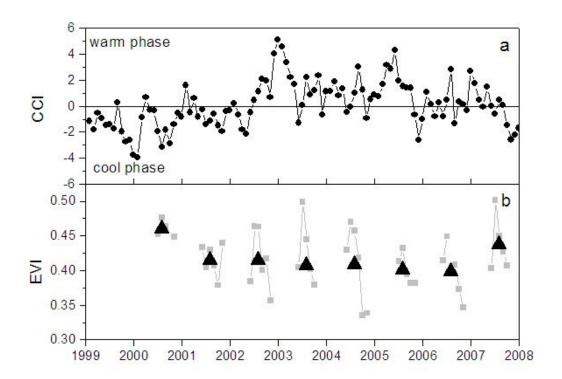


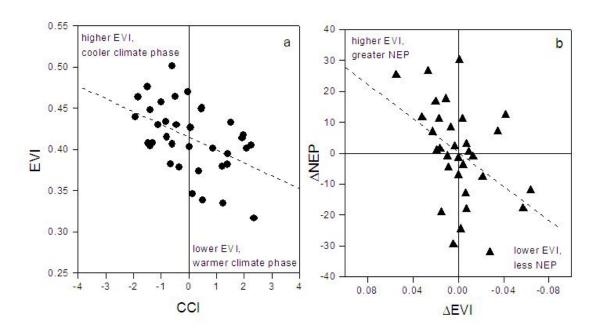




2

Fig. 9





2 Fig. 11

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